

for Advisor Plans					
Firm Name	2019	2020	2021	2022	2023 (Q1-Q3)
1ST DISCOUNT BROKERAGE INC	1	1	-	-	1
A.G.P./ALLIANCE GLOBAL PARTNERS	-	-	-	-	1
ADVANCED ADVISOR GROUP LLC	-	-	2	-	2
AEGIS CAPITAL CORP	4	1	3	-	2
ALAMO CAPITAL	1	-	1	1	-
ALEXANDER CAPITAL LP	-	2	-	-	-
ALLIANT SECURITIES INC TURNER NORD KIENBAUM	4	1	1	-	-
ALLSTATE FINANCIAL SERVICES LLC	40	28	21	26	10
AMERICAN EQUITY INVESTMENT CORPORATION	1	1	-	-	-
AMERICAN FINANCIAL ASSOCIATES INC	-	-	-	-	2
AMERICAN INVESTORS CO	1	3	1	1	-
AMERICAN PORTFOLIOS FINANCIAL SVCS INC	38	29	75	93	33
AMERICAN TRUST INVESTMENT SERVICES INC	-	-	2	-	6
AMERIPRISE FINANCIAL SERVICES LLC	226	222	197	52	68
AMERITAS INVESTMENT COMPANY LLC	6	3	11	4	4
AMUNI FINANCIAL INC	-	-	-	-	2
ANDREW GARRETT INC	-	-	-	1	2
ANOVEST FINANCIAL SERVICES INC	-	-	-	1	-
APW CAPITAL INC	4	7	1	2	3
ARETE WEALTH MANAGEMENT LLC	-	-	-	1	-
ARKADIOS CAPITAL	-	4	1	5	-
ARLINGTON SECURITIES INC	-	-	1	-	-
ARVEST WEALTH MANAGEMENT	8	6	7	5	2
ASSOCIATED INVESTMENT SVCS INC	-	1	-	1	-
AURORA SECURITIES	-	2	2	-	-
AUSDAL FINANCIAL PARTNERS INC	-	3	4	-	3
AVANTAX INVESTMENT SERVICES INC	78	90	108	66	67
AW SECURITIES	-	-	-	1	1
B B GRAHAM & COMPANY INC	13	18	7	8	2
B C G SECURITIES INCORPORATED	2	-	-	-	-
B RILEY WEALTH MANAGEMENT	19	20	13	16	4
BANCWEST INVESTMENT SERVICES INC	2	-	-	-	-
BANKERS LIFE SECURITIES INC	20	9	5	3	3
BANKOH INVESTMENT SERVICES INC	-	-	-	-	1
BBVA SECURITIES INC	12	13	-	-	-
BEACONSFIELD FNCL SVCS INC	2	-	-	-	-
BENJAMIN F EDWARDS & CO INC	8	8	11	6	5
BERTHEL FISHER & COMPANY FINANCIAL SERVICES INC	2	1	2	8	1
BLAKESLEE & BLAKESLEE INC	12	1	14	-	2
BOK FINANCIAL SECURITIES INC	-	-	1	-	-
BROKERS INTERNATIONAL FINANCIAL SERVICES LLC	-	2	5	1	6
BUCKMAN BUCKMAN & REID INC	3	-	1	-	-
CADARET GRANT & CO INC	26	43	43	31	24
CALDWELL SUTTER CAPITAL INC	2	-	-	1	-

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CALTON & ASSOCIATES INC	40	13	7	16	10
CAMBRIDGE INVESTMENT RESEARCH INC	100	69	68	59	57
CAPE SECURITIES INC	-	-	-	-	5
CAPITAL INVESTMENT GROUP INC	2	-	-	-	2
CAPITAL SYNERGY PARTNERS	1	-	2	3	1
CAPITOL SECURITIES MGMT INC	3	4	3	3	4
CARTER TERRY & COMPANY INC	1	-	-	-	-
CENTAURUS FINANCIAL INC	17	20	18	19	8
CENTENNIAL SECURITIES COMPANY INC	1	-	4	-	-
CENTER STREET SECURITIES INC	-	2	-	1	-
CEROS FINANCIAL SERVICES INC	-	2	2	-	-
CETERA ADVISOR NETWORKS LLC	128	143	152	157	120
CETERA ADVISORS LLC	101	84	105	96	36
CETERA FINANCIAL SPECIALISTS LLC	93	77	122	112	76
CETERA INVESTMENT SERVICES LLC	166	148	154	80	91
CFD INVESTMENTS INC	1	3	-	-	6
CHAPIN DAVIS	-	1	-	-	-
CHELSEA FINANCIAL SERVICES	4	6	3	1	1
CITIGROUP GLOBAL MARKETS INC	-	-	7	1	-
CITIZENS SECURITIES INC	14	17	16	16	7
COASTAL EQUITIES INC	2	1	2	1	4
COMERICA SECURITIES INC	4	2	4	1	-
COMMONWEALTH EQUITY SERVICES LLC DBA COMMONWEALTH FINANCIAL NETWORK	78	67	63	100	64
CONCORDE INVESTMENT SERVICES LLC	10	1	4	2	1
CONCOURSE FINANCIAL GROUP SECURITIES INC	11	10	6	10	1
COOMBE FINANCIAL SERVICES INC	1	1	-	-	-
COORDINATED CAPITAL SECURITIES INC	-	-	-	1	1
COPPER FINANCIAL NETWORK LLC DBA COPPER	2	1	-	1	-
CORECAP INVESTMENTS LLC	3	-	1	-	-
CORRELL CO INVESTMENT SERVICES CORP	3	-	1	-	1
CREATIVEONE SECURITIES LLC	-	2	5	10	5
CRESAP INCORPORATED	1	-	-	-	-
CRESCENT SECURITIES GROUP INC	1	-	1	-	1
CROWN CAPITAL SECURITIES LP	7	3	8	11	11
CUSO FINANCIAL SERVICES L P	67	58	87	53	24
CUTTER & COMPANY INC	3	-	-	1	-
D A DAVIDSON & CO	3	2	3	2	1
D H HILL SECURITIES LLLP	-	-	4	2	1
DAI SECURITIES LLC	-	-	-	1	-
DAVENPORT & COMPANY LLC	1	-	-	-	-
DAVID LERNER ASSOCIATES INC	5	2	8	3	5
EDWARD JONES	121	118	91	22	19
EMERSON EQUITY LLC	-	-	5	-	1
ENCOMPASS MORE INVESTMENTS LLC	-	-	2	-	-
EQUITABLE ADVISORS LLC	318	275	280	269	204

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EQUITY SERVICES INC	5	6	3	6	4
ESSEX SECURITIES LLC	-	-	6	-	-
EXCEL SECURITIES & ASSOCIATES INC	-	-	1	-	-
FAMILY INVESTORS CO	-	-	-	3	-
FARMERS FINANCIAL SOLUTIONS LLC	35	28	33	27	11
FBL MARKETING SERVICES LLC	-	3	-	-	1
FEDERATED SECURITIES INC	-	-	-	1	-
FELTL & COMPANY	1	-	-	4	-
FIDUCIARY TRUST COMPANY INTERNATIONAL	1	-	-	-	-
FIFTH THIRD SECURITIES INC	-	-	-	1	-
FIRST CITIZENS INVESTOR SERVICES INC	-	1	1	1	-
FIRST COMMAND BROKERAGE SERVICES INC	228	239	229	191	186
FIRST HEARTLAND CAPITAL INC	1	3	1	3	4
FIRST HORIZON ADVISORS INC	1	2	2	-	-
FIRST KENTUCKY SECURITIES CORP	4	2	1	1	-
FIRST REPUBLIC SECURITIES CO LLC	-	1	-	-	1
FIRST WESTERN SECURITIES INC	-	-	1	-	-
FLAGSTAR ADVISORS	-	-	1	-	-
FORTUNE FINANCIAL SERVICES INC	20	14	5	1	3
FOUNDERS FINANCIAL SECURITIES LLC	16	10	13	12	4
FRANKLIN DISTRIBUTORS LLC	1170	1199	1115	821	566
FROST BROKERAGE SERVICES INC	9	6	7	2	4
FSC SECURITIES CORPORATION	21	26	16	27	5
G A REPPLE & CO	1	1	1	1	6
GARDEN STATE SECURITIES INC	1	-	3	3	-
GENEOS WEALTH MANAGEMENT INC	6	5	4	1	2
GIRARD INVESTMENT SERVICES LLC	5	5	-	-	2
GLEN EAGLE WEALTH LLC	-	2	1	-	-
GRADIENT SECURITIES LLC	2	8	1	1	-
GREAT AMERICAN INVESTORS INC	-	-	-	4	-
GREGORY J SCHWARTZ & CO INC	-	-	-	1	1
GROVE POINT INVESTMENTS LLC	20	13	15	15	16
GWN SECURITIES INC	61	45	80	78	58
HALLIDAY FINANCIAL LLC	-	-	-	1	1
HARBOUR INVESTMENTS INC	11	5	8	11	14
HAZARD & SIEGEL INC	-	-	-	2	-
HEIM YOUNG & ASSOCIATES INC	-	1	-	-	-
HERBERT J SIMS & COMPANY INC	1	1	-	-	1
HEROLD & LANTERN INVESTMENTS INC	-	-	-	4	2
HIGHTOWER SECURITIES LLC	1	2	1	5	1
HILLTOP SECURITIES INC	6	2	5	8	2
HOOPOE CAPITAL MARKETS LLC	-	1	1	4	6
HORNOR TOWNSEND & KENT LLC	22	28	43	44	22
HSBC SECURITIES (USA) INC	10	8	4	6	6
HUNTER ASSOCIATES LLC	-	-	-	-	2
IBN FINANCIAL SERVICES INC	1	-	-	-	-

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IDB CAPITAL CORP	-	2	-	-	-
IFP SECURITIES LLC	-	-	1	3	1
INDEPENDENCE CAPITAL CO INC	1	2	3	-	2
INDEPENDENT FINANCIAL GROUP LLC	27	34	43	34	29
INFINITY FINANCIAL SERVICES	-	-	1	-	-
INNOVATION PARTNERS LLC	-	-	2	4	4
INSIGNEO SECURITIES LLC	-	-	-	5	1
INSTITUTIONAL SECURITIES CORPORATION	-	-	-	1	-
INTEGRITY BROKERAGE LLC	-	-	-	1	-
INTERNATIONAL ASSETS ADVISORY LLC	2	-	1	4	3
INTERNATIONAL MONEY MANAGEMENT GROUP INC	1	1	1	-	-
INVESTMENT PLANNERS INC	4	-	-	-	-
J ALDEN ASSOCIATES INC	-	7	1	-	-
J H DARBIE & CO INC	1	-	3	2	-
J P MORGAN SECURITIES LLC	321	231	267	306	230
J W COLE FINANCIAL INC	-	4	12	8	-
JANNEY MONTGOMERY SCOTT LLC	41	35	55	49	54
JDL SECURITIES CORPORATION	1	-	-	-	-
KCD FINANCIAL INC	-	-	2	-	4
KESTRA INVESTMENT SERVICES LLC	36	49	49	41	27
KEY INVESTMENT SERVICES LLC	21	20	20	12	13
KINGSWOOD CAPITAL PARTNERS LLC	2	-	-	-	-
KOVACK SECURITIES INC	8	3	14	5	5
L M KOHN & COMPANY	-	-	-	-	1
L O THOMAS & CO INC	12	10	8	17	6
LASALLE ST SECURITIES LLC	1	5	-	5	-
LEBENTHAL FINANCIAL SERVICES INC	13	4	5	12	6
LEIGH BALDWIN & CO LLC	-	-	10	-	3
LIBERTY PARTNERS FINANCIAL SERVICES LLC	1	2	-	-	-
LIFEMARK SECURITIES CORP	2	1	6	2	2
LINCOLN FINANCIAL ADVISORS CORP	35	41	28	34	35
LINCOLN FINANCIAL SECURITIES CORP	9	8	23	12	5
LINCOLN INVESTMENT PLANNING LLC DBA LINCOLN INVESTMENT	179	215	242	182	128
LION STREET FINANCIAL LLC	5	-	9	5	2
LOMBARD SECURITIES INC	5	-	-	-	-
LPL FINANCIAL LLC	1097	1035	1174	1071	724
M HOLDINGS SECURITIES INC	8	9	16	17	6
M S HOWELLS & CO	-	2	3	2	1
MADISON AVENUE SECURITIES LLC	1	5	9	6	3
MCCLURG CAPITAL CORPORATION	1	-	1	-	-
MERRILL LYNCH PIERCE FENNER & SMITH INC	35	36	37	336	196
MIDWESTERN SECURITIES TRADING COMPANY LLC	-	-	1	-	-
MML INVESTORS SERVICES LLC	252	161	211	199	110
MOLONEY SECURITIES CO INC	5	1	3	1	2
MOMENTUM INDEPENDENT NETWORK INC	5	-	-	-	1

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MONEY CONCEPTS CAPITAL CORP	6	1	-	3	2
MOORS & CABOT INC	-	-	-	-	1
MORGAN STANLEY SMITH BARNEY LLC	257	262	289	370	291
MSEC LLC	1	-	-	-	1
MURIEL SIEBERT & CO INC	3	-	10	-	-
MUTUAL OF OMAHA INVESTOR SERVICES INC	5	1	3	1	2
MUTUAL SECURITIES INC	5	4	1	5	3
MWA FINANCIAL SERVICES INC	33	16	23	21	18
NATIONS FINANCIAL GROUP INC	-	-	2	2	4
NATIONWIDE PLANNING ASSOCIATES INC	4	1	3	18	2
NAVY FEDERAL INVESTMENT SERVICES LLC	4	5	1	4	4
NBC SECURITIES INC	1	-	-	1	-
NEST INVESTMENTS BD LLC	13	20	14	10	19
NETWORK 1 FINANCIAL SECURITIES INC	6	5	3	3	-
NEWBRIDGE SECURITIES CORPORATION	3	1	-	1	-
NEWEDGE SECURITIES INC	-	3	2	3	-
NEXT FINANCIAL GROUP INC	8	8	11	4	9
NORTHERN TRUST SECURITIES INC	2	3	-	-	-
NORTHLAND SECURITIES INC	3	-	-	-	-
NORTHWEST INVESTMENT ADVISORS INC	1	-	-	-	-
NORTHWESTERN MUTUAL INVESTMENT SERVICES LLC	45	30	43	55	42
NTB FINANCIAL CORPORATION	-	-	-	1	-
NYLIFE SECURITIES LLC	36	42	74	74	28
OAK TREE SECURITIES INC	-	2	-	1	-
OAKWOOD CAPITAL SECURITIES INC	-	-	3	-	-
OFG FINANCIAL SERVICES INC	-	-	1	-	-
ONEAMERICA SECURITIES INC	-	-	7	3	-
OPPENHEIMER & CO INC	24	11	31	34	15
OSAIC INSTITUTIONS INC	54	52	43	26	23
OSAIC WEALTH INC	80	104	108	89	54
PACKERLAND BROKERAGE SVCS INC	3	-	1	4	5
PARK AVENUE SECURITIES LLC	14	16	26	21	14
PARKLAND SECURITIES LLC	1	4	4	7	6
PEAK BROKERAGE SERVICES LLC	-	-	-	2	-
PFS INVESTMENTS INC	628	670	888	777	571
PLANMEMBER SECURITIES CORPORATION	16	14	21	13	11
PLANNED FINANCIAL PROGRAMS INC	-	-	4	2	-
PNC INVESTMENTS LLC DBA PNC INVESTMENTS	260	128	110	86	65
PORTSMOUTH FINANCIAL SERVICES	1	-	2	4	-
PRINCIPAL SECURITIES INC	13	5	9	2	3
PRIVATE CLIENT SERVICES LLC	14	15	9	21	1
PRIVATE PORTFOLIO INC	-	-	-	1	3
PROSPERA FINANCIAL SERVICES INC	3	8	3	1	4
PRUCO SECURITIES LLC	19	17	11	17	5
PTS BROKERAGE LLC	2	1	-	8	-

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PURSHÉ KAPLAN STERLING INVESTMENTS INC	20	21	21	21	11
R F LAFFERTY & CO INC	-	2	-	-	-
R M STARK & CO INC	-	10	-	1	-
RAYMOND JAMES & ASSOCIATES INC	36	41	28	26	15
RAYMOND JAMES FINANCIAL SERVICES INC	86	92	87	100	54
RBC WEALTH MANAGEMENT A DIVISION OF RBC CAPITAL MARKETS LLC	75	63	72	70	45
REGULUS FINANCIAL GROUP LLC	6	2	5	8	5
RHODES SECURITIES INC	-	-	-	1	-
ROBERT W BAIRD & CO INC	12	12	6	10	15
S G LONG & COMPANY	-	2	-	-	-
SA STONE WEALTH MANAGEMENT INC	17	13	8	12	10
SAGE RUTTY & CO INC	-	-	1	-	1
SAGEPOINT FINANCIAL INC	70	81	96	87	63
SAN BLAS SECURITIES LLC	-	1	-	-	-
SANCTUARY SECURITIES INC	-	-	2	1	-
SANDERS MORRIS HARRIS LLC	-	-	1	-	-
SANTANDER SECURITIES LLC	6	17	18	6	3
SAXONY SECURITIES INC	11	1	5	1	1
SCF SECURITIES INC	-	1	1	-	-
SCHLITT INVESTOR SERVICES INC	1	-	3	2	-
SECUREVEST FINANCIAL GROUP	-	-	-	-	2
SECURIAN FINANCIAL SERVICES INC	8	5	7	4	2
SECURITIES AMERICA INC	117	91	106	136	85
SECURITIES MANAGEMENT & RESEARCH INC	3	1	1	-	-
SHEARSON FINANCIAL SERVICES LLC	5	2	6	5	4
SIGMA FINANCIAL CORP	1	7	3	-	6
SIGNAL SECURITIES INC	-	-	1	-	-
SILVER OAK SECURITIES INCORPORATED	4	10	10	4	4
SMITH MOORE & CO	18	3	3	9	16
SNOWDEN ACCOUNT SERVICES LLC	-	1	-	-	-
SORRENTO PACIFIC FINANCIAL LLC	4	5	4	1	1
SOUTHEAST INVESTMENTS NC INC	-	2	-	-	-
SOVEREIGN GLOBAL ADVISORS LLC	-	-	3	-	-
SPARTAN CAPITAL SECURITIES LLC	1	4	2	-	-
SPIRE SECURITIES LLC	-	1	-	-	-
ST BERNARD FINANCIAL SERVICES INC	1	1	1	-	1
STEPHENS INC DBA STEPHENS	9	7	4	5	3
STEWART PARTNERS INVESTMENT SOLUTIONS LLC	6	-	1	-	-
STIFEL NICOLAUS & CO INC	84	95	110	85	47
SUNBELT SECURITIES INC	1	-	1	-	-
SUNSTREET SECURITIES LLC	-	-	1	-	1
SUPREME ALLIANCE LLC	-	-	-	1	-
SWBC INVESTMENT SERVICES LLC	-	-	-	-	1
SYNDICATED CAPITAL INC	-	-	-	-	1
SYNOVUS SECURITIES INC	-	6	10	3	-

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T F S SECURITIES INCORPORATED	104	86	115	108	76
THE GMS GROUP LLC DBA GMS GROUP	4	-	-	4	-
THE HUNTINGTON INVESTMENT COMPANY	1	10	8	-	1
THE INVESTMENT CENTER INC	39	22	38	44	29
THE JEFFREY MATTHEWS FINANCIAL GROUP LLC	5	2	4	3	4
THE LEADERS GROUP INC	1	-	3	4	-
THE O N EQUITY SALES COMPANY	2	-	8	1	1
THE OAK RIDGE FINANCIAL SERV GROUP INC	-	-	1	2	-
THE STRATEGIC FINANCIAL ALLIANCE INC	-	1	2	-	-
THRASHER & CHAMBERS INC	3	1	5	2	5
THURSTON SPRINGER MILLER HERD & TITAK INC	-	-	-	1	-
TRANSAMERICA FINANCIAL ADVISORS INC	42	45	54	46	16
TRIAD ADVISORS LLC	9	6	11	5	14
TRIDENT PARTNERS LTD	1	-	2	-	-
TRUIST INVESTMENT SERVICES INC	66	28	34	19	19
TRUSTMONT FINANCIAL GROUP INC	1	-	1	1	6
U S BANCORP INVESTMENTS INC	61	78	76	27	38
U S BROKERAGE INC	3	-	-	-	1
UBS FINANCIAL SERVICES INC	169	128	169	245	135
UNION CAPITAL COMPANY	-	1	-	-	-
UNIONBANC INVESTMENT SERVICES LLC	30	27	25	30	5
UNITED PLANNERS FINANCIAL SERVICES OF AMERICA LP	20	10	9	12	6
UNIVEST SECURITIES LLC	-	-	1	-	-
USA FINANCIAL SECURITIES CORP	8	5	1	2	-
USI SECURITIES INC	-	-	-	2	-
VALIC FINANCIAL ADVISORS INC	116	75	35	30	37
VALLEY NATIONAL INVESTMENTS INC	1	-	-	-	-
VALMARK SECURITIES INC	6	4	4	5	3
VANDERBILT SECURITIES LLC	5	6	9	5	3
VARIABLE INVESTMENT ASSOCIATES INC	-	1	-	-	-
VERITAS INDEPENDENT PARTNERS LLC DBA TRUTORO	-	2	9	-	-
VERITY INVESTMENTS INC	-	5	1	4	6
VOYA FINANCIAL ADVISORS INC	7	5	9	2	1
WEDBUSH SECURITIES INC	2	5	1	2	2
WELLS FARGO CLEARING SERVICES LLC	376	303	370	399	315
WESBANCO SECURITIES INC	1	1	4	1	-
WESTERN INTERNATIONAL SECURITIES INC	7	8	6	9	7
WESTPARK CAPITAL INC	2	2	3	-	-
WILMINGTON CAPITAL SECURITIES LLC	1	3	-	-	-
WILSON-DAVIS & CO INC	1	-	-	-	-
WINTRUST INVESTMENTS LLC	-	1	-	-	2
WOODBURY FINANCIAL SERVICES INC	83	80	97	46	54
WOODMEN FINANCIAL SERVICES INC	1	4	8	4	1
WORLD CAPITAL BROKERAGE INC	2	-	1	-	-

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WORLD EQUITY GROUP INC	13	1	2	6	3
Total:	9003	8246	9155	8419	5953